

# Functional Analysis, PS3

VER:

24.11.2020

A1

Suppose  $X$  has countable Hamel basis  $\{e_i\}_{i \in \mathbb{N}}$ .

Write  $X_n = \text{span}\{e_1, e_2, \dots, e_n\}$ . We have

→  $X_n$  is closed as this is finite dim. space.

(Indeed, let  $x^n = \sum_{i=1}^n x_i^n e_i$ ,  $x_i^n \in \mathbb{R}$ ,  $x^n \rightarrow x \Rightarrow$

by equivalence of norms  $\{x_i^n\}_i$  are Cauchy in  $\mathbb{R}$  and have limits  $x_i$ . We claim that  $x = \sum_{i=1}^{\infty} x_i e_i$ .

Indeed, by equivalence  $\|x - x^n\| \leq \sum_{i=1}^{\infty} |x_i - x_i^n| \rightarrow 0$ .

By uniqueness of limits  $x = \sum_{i=1}^{\infty} x_i e_i$ .

→  $X_n$  has empty interior. Suppose, that there is  $x \in X_n$  and  $r > 0$  s.t.  $B(x, r) \subset X_n$ . Then,  $x + e_{n+1} \frac{r}{2}$  is in  $B(x, r)$  but  $x + e_{n+1} \frac{r}{2} \notin X_n$ .

It follows that  $\cup X_n$  has empty interior in  $X$ . But  $X = \cup X_n$  by assumption. ■

A2

This spaces have countable Hamel basis

$\{e_i\}$  s.t.  $e_i = (0, 0, \dots, 0, \underset{\substack{\uparrow \\ i\text{-th pos.}}}{1}, 0, \dots)$  and

$\{x^k\}$ .

(B1)  $\Uparrow$  Counterexample for Banach-Steinhaus.

(B2) BS:  $T_n: (X, \|\cdot\|_X) \rightarrow (Y, \|\cdot\|_Y)$

$$\forall x \in X \quad \sup_{n \in \mathbb{N}} \|T_n x\|_Y < \infty \Rightarrow \sup_{n \in \mathbb{N}} \|T_n\|_Y < \infty$$

Equivalently (or more precisely)

$$\forall x \quad \exists C_x \quad \sup_{n \in \mathbb{N}} \|T_n x\|_Y \leq C_x \Rightarrow \exists C \quad \sup_{n \in \mathbb{N}} \sup_{\|x\| \leq 1} \|T_n x\|_Y \leq C$$

Problem  $\forall g \in L^2(0,1) \quad \int_0^1 f_n g \, dx \rightarrow C_g \in \mathbb{R}$

$$T_n: L^2(0,1) \rightarrow \mathbb{R} \quad T_n(g) = \int_0^1 f_n(x) g(x) \, dx.$$

$$\forall g \quad \exists C_g \quad \sup_{n \in \mathbb{N}} |T_n g| \leq C_g \Leftrightarrow$$

$$\forall g \in L^2(0,1) \quad \exists C_g \quad \sup_{n \in \mathbb{N}} \left| \int_0^1 f_n(x) g(x) \, dx \right| \leq C_g.$$

(This is true because convergent sequence is bounded)

Thanks to Banach-Steinhaus

$$\exists C \sup_{n \in \mathbb{N}} \sup_{\|g\|_2 \leq 1} |T_n g| \leq C$$

$$\Leftrightarrow \exists C \sup_{n \in \mathbb{N}} \sup_{\|g\|_2 \leq 1} \left| \int_0^1 f_n(x) g(x) dx \right| \leq C$$

Take  $g = \frac{f_n}{\|f_n\|_2}$  to get  $\exists C \sup_{n \in \mathbb{N}} \|f_n\|_2 \leq C$ .  $\square$

**B3**  $(X, \|\cdot\|_X)$  - Banach space.

Let  $A \subset X^*$  be such that  $\forall x \in X \{ \varphi(x) : \varphi \in A \}$  is bounded. Prove that  $A$  is bounded in  $X^*$ .

1)  $A \subset X^*$ .  $X^*$  is the space of bounded functionals on  $X$  equipped with the operator norm.

2) We know that  $\forall x \in X \{ \varphi(x) : \varphi \in A \}$  is bounded. This is subset of  $\mathbb{R}$ .

3) We have to prove that  $A$  is bounded in  $X^*$ , i.e.:

$$\sup_{\varphi \in A} \|\varphi\| < \infty.$$

$$\forall x \in C_X \quad \exists \sup_{n \in \mathbb{N}} \|T_n x\|_Y \leq C_X \Rightarrow \exists \sup_C \sup_{\|x\| \leq 1} \|T_n x\|_Y \leq C$$

( $T_n: X \rightarrow Y$ ).

As  $\forall x \{ \varphi(x) : \varphi \in A \}$  is bounded we take

$$T_\varphi x := \varphi(x), \quad \varphi \in A, \quad T_\varphi: X \rightarrow \mathbb{R}.$$

We check assumptions

$$\forall x \in C_X \quad |T_\varphi x| \leq C_X \leftarrow \text{follows from } \leftarrow \text{Hence,}$$

Banach-Steinhaus implies

$$\exists_C \sup_{\varphi \in A} \sup_{\|x\| \leq 1} |\varphi(x)| \leq C$$

$$= \|\varphi\| \leftarrow \text{operator norm.}$$

$$\Rightarrow \exists_C \sup_{\varphi \in A} \|\varphi\| \leq C.$$

(B4)  $\Uparrow$ .

(B5)  $X = (C[0,1], \|\cdot\|_2)$  - not a Banach space.

Consider

$$B(f,g) = \int f(t)g(t) dt$$

For fixed  $f$   $g \mapsto B(f,g)$  is continuous on  $X$ :

$$|B(f,g)| \leq \|f\|_\infty \int |g(t)| dt \leq \|f\|_\infty \|g\|_1.$$

Similarly, for fixed  $g$ ,  $f \mapsto B(f,g)$  is cont. on  $X$ .

But  $(f,g) \mapsto B(f,g)$  is not continuous.

$$|B(f,g)| \leq \int |f(t)| dt \int |g(t)| dt$$

$$\text{i.e. } \left| \int fg \right| \leq \int |f| \int |g|$$

$$\text{take } f=g \quad \left| \int f^2 \right| \leq \left( \int |f| \right)^2.$$

$$\text{Consider } f = x^n \quad \left| \int x^{2n} \right| \leq \left( \int x^n \right)^2$$

$$\text{i.e. } \frac{1}{2n+1} \leq \left( \frac{1}{n+1} \right)^2 \Rightarrow \frac{(n+1)^2}{2n+1} \leq 1$$

contradiction.

$$\textcircled{B7} \cdot \{T_n\} \subset \mathcal{L}(X, Y)$$

•  $\forall_x T_n x$  converges to some  $Tx$

$\Rightarrow T$  is a bounded operator.

STEP 1:  $\sup_n \|T_n\| < \infty$ .

$\forall_x \{T_n x\}_n$  is a bounded sequence in  $Y$ . From

Banach-Steinhaus  $\sup_n \|T_n\| < \infty$ .

STEP 2:  $\|Tx\| \leq \|(T - T_n)x\| + \|T_n x\|$

Apply  $\liminf$  to get for  $\|x\| \leq 1$ .

$$\|Tx\| \leq \liminf_{n \rightarrow \infty} \|T_n x\| \leq \liminf_{n \rightarrow \infty} \|T_n\|$$

Take sup on the (LHS) to get:

$$\|T\| \leq \liminf_{n \rightarrow \infty} \|T_n\| < \infty$$

□.

(B8)

$$T_n: X \rightarrow X \quad T_n x = (x_1, 2x_2, \dots)$$

$$(a) \quad \|T_n x\| = \sup_{1 \leq k \leq n} |k x_k| \leq n \|x\|_\infty$$

$$\Rightarrow T_n \in \mathcal{L}(X, X).$$

(b) As  $x$  has only finitely many non zero terms,  $T_n x$  becomes constant sequence.

(c)  $T: X \rightarrow X$  is not bounded.

$$\text{Suppose } \exists_C \quad \|T x\| \leq C \|x\| \quad \forall_x.$$

$$\text{Consider } x = (0, 0, \dots, 0, 1, 0, \dots)$$

$$T x = (0, 0, \dots, 0, n, 0, \dots)$$

$$\Rightarrow n \leq C \quad \forall_{n \in \mathbb{N}} \Rightarrow \text{contradiction}$$

(d)  $X$  has countable Hamel basis.