

APPROXIMATION PROPERTY IN BANACH SPACES:

Def: A sequence $\{x_n\}_{n=1}^{\infty} \subset X$ is called Schauder basis if

$\forall x \in X, x = \sum_{n=1}^{\infty} a_n(x) x_n$ uniquely. Then

$$P_n = \sum_{k=1}^n a_k(x) x_k \leftarrow \text{bounded projections, } P_n \rightarrow \text{Id}_X$$

By S-S, there is a uniform bound on P_n 's $\|P_n\| \leq K < \infty$

$$l_p, c_0, L_p(\mathbb{T}), C(\mathbb{I})$$

$\Rightarrow X$ - separable

Does every separable Banach space have a basis?

Notation:

$L(X, Y)$ - all linear, bounded operators from X to Y

$\cup$

$F(X, Y)$ - finite rank

$K(X, Y)$ = compact operators

$\overline{F(X, Y)} = K(X, Y)$ - compact

Obs: ~~XXXXX~~

$$F(X, Y) \subset K(X, Y)$$

"needs more properties of X or Y (we'll come back to it)"

* Def: $X \in \text{BAP}$ (Bounded app. property) if $\exists \lambda \forall D \subset X, \text{finite } \forall \varepsilon > 0$

$\exists T \in F(X)$ so that $\|Tx - x\| < \varepsilon \quad \forall x \in D, \|T\| < \lambda$.

easy: X separable, $X \in \text{BAP} \Leftrightarrow \exists T_n \in F(X), T_n \rightarrow \text{Id}_X$ strongly (in every point)

Immediate: X has a basis $\Rightarrow X$ has BAP

Example: $L_p(\mu) \ni f_1, \dots, f_n \in \dots$ Let $g_1, \dots, g_n$ be simple functions

so that $\|f_j - g_j\| < \varepsilon$

$$g_j = \sum_{i=1}^{n_j} a_{ji} \mathbb{1}_{A_{ji}}, \text{ let } \mathcal{A} = \text{the algebra generated by } \{A_{ji}\}$$

$$\text{Let } Tf = E(f | \mathcal{A})$$

Example 2: $X = L_p(\mathbb{T})$ or $C(\mathbb{T})$
 $1 \leq p \leq \infty$

Y - translation invariant $\subset X$
i.e. $Y = X_A = \{e^{ikt} : k \in A\}$

$$\text{Let } P_n f = \sum_{k=-n}^n \hat{f}(k) e^{ikt} ; T_n = \frac{1}{n+1} (P_0 + P_1 + \dots + P_n)$$

By Fejer's theorem, $\|T_n\| = 1, T_n f \rightarrow f$ in $L_p(\mathbb{T}), p < \infty$
 $C(\mathbb{T})$

Notice that $P_n X_A \in X_A$ and $T_n X_A \in X_A$

$\Rightarrow$ ~~K/A~~ X_A has BAP (except $p = \infty$)

open question: $1^\circ L_\infty(\mathbb{T})_N = H_\infty \in \text{BAP}?$

$C(\mathbb{T})_A$ - this is known ($\in \text{BAP}$)

2° when does it have basis?

~~XXXX~~ By Božkariov '74, $C(\mathbb{T})_N = \text{disc algebra}$ does have a basis.

$L_p(\mathbb{T})_N$ isomorphic to $L_p(\mathbb{T})$

$\parallel$ (from Banach space point of view)

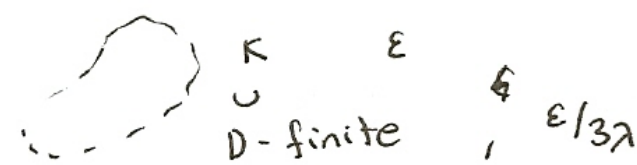
H_p

$H_1 \neq L_1$

Example 3: $C^*(G) \in \text{BAP}$ for some G 's, e.g. Haagerup proved it for $G = F_2$.

Def: $X \in \text{AP}$ (Grothendick's AP) if $\forall K \subset X$ compact $\forall \varepsilon > 0$
 $\exists T \in F(X)$ so that $\|Tx - x\| < \varepsilon \quad \forall x \in K$.
 (there is no lambda)

Easy: $\text{BAP} \Rightarrow \text{AP}$



there is $T \in F(X)$, $\|Tx - x\| < \varepsilon/3 \iff$

$\|T\| \leq \lambda, \quad \forall x \in D$.

MAIN THEOREM (Enflo 72) there is separable B space without AP
 (T-F tu był taki napis)

thm: $\text{AP} \not\Rightarrow \text{BAP} \not\Rightarrow \text{basis}$ Szarek

WHY AP?

thm 1: $X \in \text{AP} \iff \forall Y, K(Y, X) = \overline{F(Y, X)}$

Open question: Is it true that $X \in \text{AP}$ iff $K(X) = \overline{F(X)}$

Proof: " $\Rightarrow$ " $T \in K(Y, X)$, let $K = \overline{TB_Y}$, let $S: F(X)$ be such that $\|Sx - x\| < \varepsilon \quad \forall x \in X$. Then for $T_1 = ST$ we have

$\|T_1 x - x\| < \varepsilon \quad \forall x \in B_Y$

Thm 2: $X \notin \text{AP} \iff \exists x_1^*, x_2^*, \dots \in X^*$, $x_1, x_2, \dots \in X$ so that

$\sum \|x_n^*\| \|x_n\| < \infty$, $\sum x_n^*(x_n) = 1$, $\sum x_n^*(x) x_n = 0$, for every $x \in X$

(1)

(2)

(3)

$0 = x_j^* \left(\sum x_n^*(x_k) x_n \right) = \sum_{n=1}^{\infty} x_n^*(x_k) x_j^*(x_n) = 0 \quad \forall j, k$

Denote $a_{nk} = x_n^*(x_k)$ $\sum_{n=1}^{\infty} a_{nk} a_{jn} = 0 \iff A^2 = 0$ $A = (a_{nk})_{n,k=1}^{\infty}$
 (3') $\rightarrow$

$$A = (a_{nk})_{n,k=1}^\infty \quad \text{tr } A = \sum a_{nn} = 1 \quad (2)$$

$$\uparrow \quad \|x_n^*\| \rightarrow 0, \quad \sum \|x_n\| < \infty \quad (11)$$

$$\lim_{n \rightarrow \infty} \sum_{k=1}^{\infty} |a_{nk}| = 0 \quad (1')$$

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Thm (Grothendieck) = thm 2

Lemma (1): $K \subset X$ compact $\iff \exists x_n \rightarrow 0$ s.t. $K \subset \overline{\text{conv}} \{x_n\}_{n=1}^{\infty}$

proof: $\uparrow$

In $L(X)$ introduce the loc. convex topology τ given by the seminorms

$$\|T\|_K = \max \{\|Tx\| : x \in K\}, \quad K \text{ compact}, \quad K \subset X$$

Lemma 2: $(L(X), \tau)^* \ni \Lambda \iff \exists x_1, x_2, \dots, x_1^*, x_2^*, \dots$ s.t. (1)

$$\Lambda T = \sum_{n=1}^{\infty} x_n^*(Tx_n)$$

proof: $L^* \supset \Lambda$ $\iff \exists K \subset X$ compact so that $|\Lambda T| \leq \|T\|_K$ for every $T \in L$

by lemma 1 we can assume that $K = \{x_n\}_{n=1}^{\infty}$, $x_n \rightarrow 0$

(wlog)

$$\text{Let } Y \subset C_0(X) = (X \oplus X \oplus \dots)_{\ell_\infty} \ni (y_1, \dots, y_n) \quad \|\cdot\| = \max \|y_n\|$$

$$\|\{Tx_n\}_{n=1}^{\infty}\|_{\ell_\infty} : T \in L\}$$

Let us define for $y \in Y$, $y = (Tx_1, Tx_2, \dots)$, $\Phi y = \Lambda T$
 $y = (Sx_1, Sx_2, \dots)$ $\hat{\Phi} y = \Delta S$ " ?

$$\Lambda(S-T) = 0, \quad ((S-T)x_1, (S-T)x_2, \dots) = (0, \dots)$$

$$|\Lambda(S-T)| \leq \|S-T\|_K = 0$$

$$|\Phi y| \leq \|y\|_{C_0} \quad \text{continuous}$$

By Hahn-Banach there is $\psi \in C_0(X)^*$ so that $\hat{\Phi} y = \psi y$ for $y \in Y$
 $\ell_1(X^*)$, i.e. $\psi(y_1, y_2, \dots) = \sum_{n=1}^{\infty} x_n^*(y_n)$

$$\text{In particular, } \Lambda T = \hat{\Phi}(Tx_1, Tx_2, \dots) = \psi(Tx_1, Tx_2, \dots) = \sum_{n=1}^{\infty} x_n^*(Tx_n)$$

Proof of thm: A version of H.B

L is locally convex, $M \subset L$ a (linear) subspace, $x_0 \notin \overline{M}$ $\iff$

$$\exists \Lambda \in L^* \quad \text{s.t.} \quad \Lambda|_M = 0, \quad \Lambda x_0 = 1$$

take $L = (L(X), \tau)$, $M = F(X) : X \notin \text{AP} \iff \text{Id}_X \notin \overline{F(X)}^\tau$

$$\exists \Lambda \in (L(X), \tau)^* \quad \text{s.t.} \quad \Lambda|_M = 0, \quad \Lambda(\text{Id}) = 1, \quad \Lambda T = \sum x_n^*(Tx_n)$$

$$\Lambda(\text{Id}) = \sum x_n^*(x_n) \quad (2)$$

Notation: $(x^* \otimes x)(y) = x^*(y)x$

$$\Lambda(x^* \otimes x) = 0 \quad \forall x^*, x$$

$$\Lambda(x^* \otimes x) = x^*(\sum x_n^*(x) x_n) = 0 \iff \sum x_n^*(x) x_n = 0 \quad \forall x \quad (3)$$

Remark: $X^* \in AP \Rightarrow X \in AP$
 $X \notin AP \Rightarrow X^* \notin AP \iff \exists x_n^*, x_n^{**}$
 $\uparrow$
 x^{**}

Ex:

$$\begin{array}{ccc} N(H)^* & = & L(H) \\ | & & | \\ \text{nuclear} & & \text{bounded} \\ \text{operators} & & \text{operators} \end{array} \quad \begin{array}{l} L(H) \notin AP \\ N(H) \in AP \end{array}$$

Tensor norms: $Y \otimes X$, $\sum_{i=1}^k y_i \otimes x_i \sim \sum_{i=1}^n u_i \otimes v_i$

$$\sum y^*(y_i) x^*(x_i) = \sum y^*(u_i) x^*(v_i)$$

$$\sum_{i=1}^n y_i \otimes x_i = AV \left[\left(\sum \xi_j y_j \right) \otimes \left(\sum \xi_k x_k \right) \right]$$

$$\parallel$$

$$2^{-n} \sum_{\epsilon} \epsilon$$

$$\beta \in Y \otimes X$$

Proj. norm: $\|\beta\|_\Lambda = \inf \left\{ \sum_{i=1}^k \|y_i\| \|x_i\| : \beta = \sum y_i \otimes x_i \right\}$

$$Y = X = \ell_p, \quad y_i = x_i = e_i$$

$$K^{Y,p} = \left\| \sum_{j=1}^k \epsilon_j x_j \right\| = \|y\|$$

Inj. norm:

$$\|\beta\|_V = \sup_{\substack{1 = \|x^*\|, \\ 1 = \|y^*\|}} \left| \sum y^*(y_i) x^*(x_i) \right|$$

$$Y = X^*, \quad \beta = \sum_{n=1}^k x_n^* \otimes x_n; \quad \beta \in X^* \otimes X \iff F(X)$$

$$\beta(x) = \sum x_n^*(x) x_n$$

$$\|\beta\|_V = \sup \{ \|\beta(x)\| : \|x\| \leq 1 \}$$

Obs: $\|\beta\|_\Lambda \geq |\text{tr } \beta| \stackrel{\text{def}}{=} \left| \sum x_n^*(x_n) \right|$

$$\|\beta\|_V \leq \|\beta\|_\Lambda$$

Define: $X^* \hat{\otimes} X$, $X^* \check{\otimes} X$ completions of $X^* \otimes X$ in the $\text{proj.}, \text{inj.}$ norms.

$$\parallel$$

$$F(X) \quad (1)$$

Fact: $X^* \hat{\otimes} X = \left\{ \sum_{n=1}^\infty x_n^* \otimes x_n : \sum \|x_n^*\| \|x_n\| < \infty \right\} / \sim$

where "s":

$$\sum_{n=1}^{\infty} x_n^* \otimes x_n \sim \sum_{n=1}^{\infty} u_n^* \otimes v_n \quad \text{iff} \quad \left(\sum_{n=1}^k x_n^* \otimes x_n \xrightarrow{k \rightarrow \infty} \sum_{n=1}^k u_n^* \otimes v_n \right)_1$$

define: $\text{tr} \left(\sum_{n=1}^{\infty} x_n^* \otimes x_n \right) = \sum_{n=1}^{\infty} x_n^*(x_n)$

(1) $\text{tr} \beta = 1$ (2) $\beta(x) = 0 \quad \forall x$ (3)

$$X^* \hat{\otimes} X \longrightarrow X^* \check{\otimes} X$$

noninjective map

$$\Downarrow$$

$X \notin AP$

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$$X \notin AP \iff \exists \beta = \sum_{k=1}^{\infty} x_k^* \otimes x_k \in X^* \hat{\otimes} X, \quad \sum_1^{\infty} \|x_k^*\| \|x_k\| < \infty \quad (1)$$

$$\text{tr} \beta = \sum_1^{\infty} x_n^*(x_n) = 1, \quad \beta(x) = 0 \quad \forall x \in X$$

(2) $\sum x_n^*(x) x_n$

Enflo approach:

$$X \notin AP \iff \exists \beta_n \in X^* \otimes X;$$

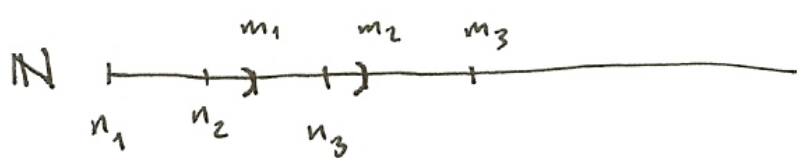
(1) $\sum \|\beta_{n+1} - \beta_n\| < \infty$

(2) $\text{tr} \beta_n = 1 \quad \forall n$

(3) $\|\beta_n\|_V \xrightarrow{n \rightarrow \infty} 0$

$$\beta = \beta_1 + \sum_{n=1}^{\infty} \beta_{n+1} - \beta_n$$

Thm: A random $\frac{\infty}{2} \dim$ subspace of $\ell_p, p > 2$ fails AP



$[n_j, m_j]$ they cannot be disjoint
 $n_j < m_{j-1}$

$$X = (a_1, a_2, a_3, \dots) : a_j \in \mathbb{R}^{3 \cdot 2^j}, \quad j = 0, 1, 2, \dots$$

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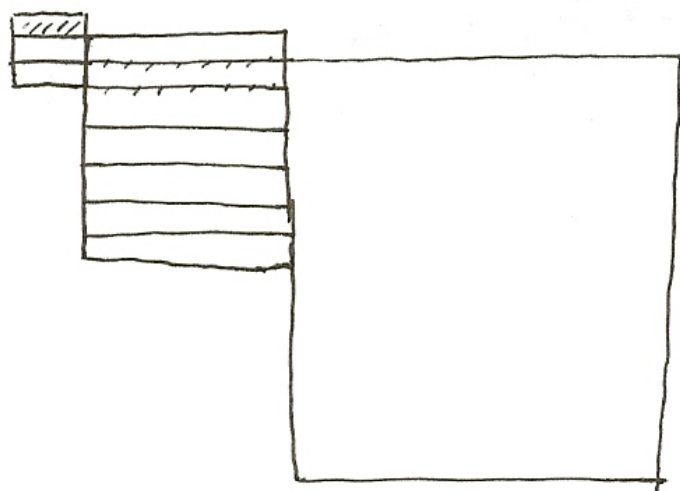
$$\mathbb{R}^{\infty} \quad T^k \in O(3 \cdot 2^k) \longrightarrow \left\{ \frac{u_k}{V_k} \right\}_{2^{k+1}}^{2^k} \quad 3 \cdot 2^k$$

$u_1^k, \dots, u_{2^k}^k$ - rows of U_k
 $V_1^k, \dots, V_{2^k}^k$ - rows of V_k

$O(n)$ = orthogonal group on $\mathbb{R}^n$

$$\cap \mathbb{R}^{3 \cdot 2^k}$$

$$y_i^k \stackrel{\text{def}}{=} v_i^{k-1} + u_i^k$$



$$Y = Y(\{T_k\}) = \text{span} \{y_i^k: k=0,1,\dots, i=1,\dots,2^k\}$$

Y_p = completion of Y in ℓ_p

Thm: $P(Y(T_k) \notin A_p) = 1, \bigotimes_{k=1}^{\infty} (3 \cdot 2^k)$

$$\beta_n = 2^{-n} \sum_{i=1}^{2^n} \varphi_i^n \otimes y_i^n$$

depends on T_{n-1}, T_n

where $\varphi_i^k(x) = \langle u_i^k, x \rangle$

for $x \in Y \nearrow = \langle v_i^{k-1}, x \rangle$

enough to verify for $x = y_j^m$

~~Thm~~ $\beta_n(x) = 2^{-n}$ orthogonal projection on span $y_1^n, \dots, y_{2^n}^n$

$$\|\beta_n\|_Y \sim 2^{-n} \rightarrow 0$$

Thm: $P((T_{n-1}, T_n, T_{n+1}) : \|\beta_{n+1} - \beta_n\| < C_n 2^{-n}) \geq 1 - 2^{-n}$

Thm: $P(Y_p \notin A_p) = 1$ (this is implied by the previous thm)

$$\beta_n = 2^{-n} \sum_{i=1}^{2^n} \varphi_i^n \otimes y_i^n = 2^{-n} \left(\sum_{i=1}^{2^n} \varphi_i^n \otimes v_i^{n-1} + \sum_{i=1}^{2^n} \varphi_i^n \otimes u_i^n \right) =$$

depends on T_{n-1}, T_n

β_{n+1}

$$= 2^{-n} \left(\sum_{i=1}^{2^n} u_i^n \otimes v_i^{n-1} + \sum_{i=1}^{2^n} u_i^n \otimes u_i^n \right) =$$

$$= 2^{-n-1} \left(\sum_{i=1}^{2^{n+1}} v_i^n \otimes v_i^n + \sum_{i=1}^{2^{n+1}} v_i^n \otimes u_i^{n+1} \right)$$

Pure Isot year algebra:

$$\sum_{i=1}^N x_i \otimes y_i, \quad x_i = \sum_j a_{ij} u_j, \quad y_i = \sum_k b_{ik} v_k$$

$$A = (a_{ij})_{i=1}^N, \quad B = (b_{ik})_{i=1}^N$$

$$\begin{bmatrix} A \end{bmatrix} \begin{bmatrix} B \end{bmatrix}$$

$$\sum_i u_i \otimes d_i$$

$$d_j = \sum_k A^* B v_k$$

$$2^{-k-1} \sum_{i=1}^{2^k} v_i^k \otimes v_i^k - 2^{-k} \sum_{i=1}^{2^k} u_i^k \otimes u_i^k = 2^{-k} \sum_{i=1}^{2^k} e_i^k \otimes V_k V_k^* e_i^k$$

u.v. b of $\mathbb{R}^{3 \cdot 2^k}$

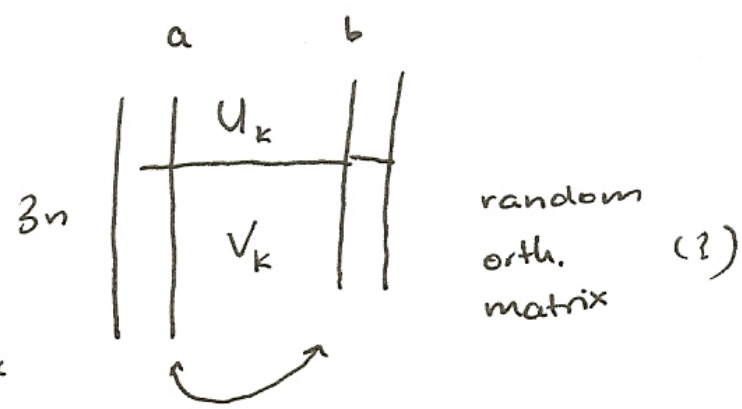
$$\underbrace{\left(2^{-k} V_k V_k^* - \underbrace{U_k U_k^*}_{2^{-k-1}} \right) e_i^k}_{d_i^k}$$

$$d_i^k$$

$$\|\beta_n - \beta_{n+1}\|_1 \leq 2^{-k} \max \|d_i^k\|_p$$

Fact: With probability $> 1 - 2^{-k}$

$$|\langle a, b \rangle| < C \cdot k^2 \cdot 2^{-k/2}$$



Conclusion:

$$\|d_i^k\|_\infty \leq C \cdot k^2 \cdot 2^{-\frac{k}{2}}, \quad \|d_i^k\|_p \leq C \cdot k^2 \cdot 2^{-\frac{k}{2}} \cdot \underbrace{\|(1, \dots, 1)\|_p}_{2 \cdot 2^k} \leq C \cdot k^2 \cdot 2^{-k/2 + k/p}$$

$$\|\beta_n - \beta_{n+1}\|_1 \leq C \cdot k^2 \cdot 2^{k(1/p - 1/2)}$$

the const. does not work with $p \leq 2$

$$Y_p \sim \ell_2 \quad \text{for } 1 < p < 2$$

almost surely